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PTO/SB/05 (08/00)

Approved for use through 10/31/2002, OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO

09/834595
04/13/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket | H0001266 |
| First Inventor | Raymond W. Borden |
| Title | MULTI-POLE HIGH SPEED GENERATOR WITH A MODULAR RECTIFIER CIRCUIT |
| Express Mail Label No. | EL645094993US |

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. Fee transmittal Form
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status
See 37 CFR 1.27.

3. Specification [Total Pages 24]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed Sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. Drawing(s) (35 USC 113) [Total Sheets 8]

5. Oath or Declaration [Total Pages 3]
 a. Newly executed (original or copy)
 b. Copy from prior Application (37 CFR 1.63(d))
 i. DELETION OF INVENTOR(S)
 Signed Statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. Computer Readable Form (CRF)
 b. Specification Sequence Listing on
 i. CD-ROM or CD-R (2 Copies); or
 ii. paper
 c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents)

10. 37 CFR 3.73(b) Statement Power of Attorney (where there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return receipt postcard (MPEP 503) (Should be specifically itemized)

15. Certified copy of priority Document(s) (if foreign priority is claimed)

16. Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: and in a preliminary amendment or in an

Continuation Divisional Continuation-in-part (CIP) of prior application no. /
 Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

| | | | | | |
|---------|--|-----------|----|----------|-------|
| NAME | Keith Newbury, Esq. Honeywell International, Inc. | | | | |
| ADDRESS | Law Dept. AB2 P.O. Box 2245 | | | | |
| CITY | Morristown | STATE | NJ | ZIP CODE | 07962 |
| COUNTRY | USA | TELEPHONE | | | |

| | | | |
|-----------|--------------------|------------------|--------|
| Name | Paul D. Amrozowicz | Registration No. | 45,264 |
| Signature | Date: 7/13/01 | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision
 Small Entity payments must be supported by a small entity statement
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12
 See 37 C.F.R. §§1.27 and 1.28

TOTAL AMOUNT OF PAYMENT

1836.00

| Complete if Known | |
|------------------------|--------------------------|
| Application Number | |
| Filing Date | April 13, 2001 |
| First Named Inventor | Raymond W. Borden, et al |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | H0001266 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **01-1125**

Deposit Account Name **Honeywell International, Inc.**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|----------|-----------------------|----------|------------------------|----------|
| 101 | 620 | 201 | 345 | Utility filing fee | 710.00 |
| 106 | 310 | 206 | 155 | Design filing fee | |
| 107 | 480 | 207 | 240 | Plant filing fee | |
| 108 | 690 | 208 | 345 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | 710.00 |

2. CLAIMS

| Total Claims | -20**= | Extra | Fee from below | Fee Paid |
|---------------------------|--------|-------|----------------|----------|
| 67 | -20**= | 47 | X 18 | = 846.00 |
| Independent 6 | -3**= | 3 | X 80 | = 240.00 |
| Multiple Dependent Claims | | | 0 | = 0.00 |
| | | | | 1086.00 |

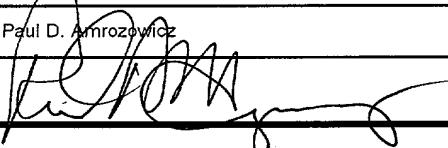
** or number previously paid, if greater, For reissues see below

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description |
|-----------------------|----------|-----------------------|----------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | | | (\$ 1086.00) |

| FEE CALCULATION (continued) | | | | | |
|-----------------------------|----------|-----------------------|----------|--|----------|
| 3. ADDITIONAL FEES | | | | | |
| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920 | 112 | 920 | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840 | 113 | 1,840 | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 380 | 216 | 190 | Extension for reply within second month | |
| 117 | 870 | 217 | 435 | Extension for reply within third month | |
| 118 | 1,360 | 218 | 680 | Extension for reply within fourth month | |
| 128 | 1,850 | 228 | 925 | Extension for reply within fifth month | |
| 119 | 300 | 219 | 150 | Notice of Appeal | |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | |
| 121 | 260 | 221 | 130 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application | |
| 141 | 1,210 | 241 | 605 | Petition to revive unintentionally abandoned application | |
| 142 | 1,210 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 215 | Design issue fee | |
| 144 | 580 | 244 | 290 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) _____ | | | | | |
| Other fee (specify) _____ | | | | | |
| SUBTOTAL (3) | | | | | 40.00 |

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-----------------------|---|-----------------------------------|--------|-----------|--------------|
| Typed or Printed Name | Paul D. Amrozowicz | Registration No. (Attorney/Agent) | 45,264 | Telephone | 602-230-5542 |
| Signature |  | | | Date | 4/13/01 |